# **BASIC GRAMMAR**

# SESSION 13 CAUSATIVE FORM

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# We use the causative in English to say that we have arranged for someone to do something for us.

He had his jacket cleaned.

(He didn't clean it himself.)

The causative is formed with 'have + object + past participle' The past participle has a passive meaning.

# Questions and negations of the verb 'have' are formed with do/does or did in the past simple.

Did you have your camera fixed?

We also use 'have something done' to talk about an unpleasant experience. Peter had his phone stolen last night.

# In informal conversations we can use 'get' instead of 'have'.

She's getting her hair done again.

#### It is important to have the correct word order:

'John had his car repaired.' is very different to 'John had repaired his car.' In the first sentence John arranged for someone else to repair his car. In the second sentence he did it himself.

# The causative can be used with most verb forms and is also formed with the infinitive and -ing forms.

He should have his car repaired. It's worth having his car repaired.

Lesson by Tristan, English teacher at EC Malta English school

Now select the correct form of the causative from the following:

•	1. Which is correct?							
	Where can I have my watch fixed? Where can I have fixed my watch?							
•	2. Which is correct?							
	I wish I need to done my washing by someone. I hate doing it myself. I wish I could have my laundry done. I hate doing it myself.							
•	3. Which is correct?							
	Jane is at the hairdresser at the moment. She has her hair done.  Jane is at the hairdresser at the moment. She's having her hair done.							
•	4. Which is correct?							
	This is the third time I've had the air conditioner repaired in four days.  This is the third time I have the air conditioner repaired in four days.							
•	5. Which is correct?							
	Joan had made a new dress for the wedding.  Joan had a new dress made for the wedding.							
•	6. Which is correct?							
	Sarah is going to take her TV to have it repaired. Sarah is going to take her TV to had it repaired.							

In <u>linguistics</u>, a **causative** (<u>abbreviated</u> **caus**) is a <u>valency</u>-increasing operation<sup>[1]</sup> that indicates that a subject either causes someone or something else to do or be something or causes a change in state of a non-<u>volitional</u> event. Prototypically, it brings in a new argument (the causer), A, into a transitive clause, with the original S becoming the O.

All languages have ways to express causation [citation needed] but differ in the means. Most, if not all, languages have lexical causative forms (such as English  $rise \rightarrow raise$ ,  $lie \rightarrow lay$ ,  $sit \rightarrow set$ ). Some languages also have morphological devices (such as inflection) that change verbs into their causative forms or adjectives into verbs of becoming. Other languages employ periphrasis, with control verbs, idiomatic expressions or auxiliary verbs. There tends to be a link between how "compact" a causative device is and its semantic meaning. [2]

The prototypical English causative verb<sup>[3]</sup> or control verb used in periphrasis is *make* rather than *cause*. Linguistic terms are traditionally given names with a Romance root, which has led some to believe that *cause* is more prototypical. While *cause* is a causative, it carries some lexical meaning (it implies direct causation) and is less common than *make*. Also, while most other English causative verbs require a *to* complement clause (as in My mom caused me to eat broccoli"), *make* does not require one ("My mom made me eat broccoli"), at least when it is not being used in the passive voice. [5]:36-7

#### Terminology[edit]

Many authors have written extensively on causative constructions and have used a variety of terms, often to talk about the same things.

**S**, **A**, and **O** are terms used in <u>morphosyntactic alignment</u> to describe <u>arguments</u> in a sentence. The subject of an <u>intransitive verb</u> is S, the agent of a transitive verb is A, and the object of a transitive is O. These terms are technically not abbreviations (anymore) for "<u>subject</u>", "<u>agent</u>", and "<u>object</u>", though they can usually be thought of that way. P is often used instead of O in many works.

The term **underlying** is used to describe sentences, phrases, or words that correspond to their causative versions. Often, this underlying sentence may not be explicitly stated. For example, for the sentence "John made Bill drive the truck", the underlying sentence would be *Bill drove the truck*. This has also been called the **base situation**. [6]

A **derived** sentence would be the causativized variant of the underlying sentence.

The **causer** is the new argument in a causative expression that causes the action to be done. The causer is the new argument brought into a derived sentence. In the example sentence above, *John* is the causer.

The **causee** is the argument that actually does the action in a causativized sentence. It is usually present in both the underlying and derived sentences. *Bill* is the causee in the above example.

#### Devices[edit]

There are various ways of encoding causation, which form somewhat of a continuum of "compactness." [2]:74-5

#### Lexical[edit]

Lexical causatives are common in the world's languages. There are three kinds of lexical causatives, the unifying factor being that the idea of causation is part of the semantics of the verb itself. [1]:177 (English, for example, employs all three of these kinds of lexical causatives.)

On the surface, lexical causatives look essentially the same as a regular transitive verb. There are a few reasons why this is not true. The first is simply that transitive verbs generally do not have an intransitive counterpart but lexical causatives do. The semantics of the verbs show the difference as well. A regular transitive verb implies a single event while a lexical causative implies a realization of an event:<sup>[8]:511</sup>

- (a) John kicked the ice but nothing happened to it.
- (b) \*John melted the ice but nothing happened to it.

Sentence (b) is judged ungrammatical because it goes against the successful event implied by the verb *melt*.

#### One word[edit]

Main article: Causative alternation

Some languages, including English, have <u>ambitransitive</u> <u>verbs</u> like *break*, *burn* or *awake*, which may either be <u>intransitive</u> or <u>transitive</u> ("The vase broke" vs. "I broke the vase.")

These are split into two varieties: <u>agentive and patientive</u> ambitransitives. Agentive ambitransitives (also called S=A ambitransitives) include verbs such as *walk* and *knit* because the S of the intransitive corresponds to the A of the transitive. For example:

- (1a) Mary (S) is knitting.
- (1b) Mary (A) is knitting a scarf (O).

This type of ambitransitive does *not* show a causative relationship.

For patientive ambitransitives (also called S=O ambitransitives), such as *trip* and *spill*, the S of the intransitive corresponds to the O of the transitive:

- (2a) The milk (S) spilled.
- (2b) Jim (A) spilled the milk (O).

These are further divided into two more types, based on speakers' intuition. Some, like *spill* in (2), are primarily transitive and secondarily intransitive. Other verbs like this include *smash* and *extend*. Other verbs, such as *trip* in (3) go the other way: they are primarily intransitive and secondarily transitive.

- (3a) John (S) tripped.
- (3b) Mary (A) tripped John (O).

Other examples of this type include *explode*, *melt*, *dissolve*, *walk*, and *march*. It is this type of ambitransitive verb that is considered a causative<sup>[2]:38</sup> This is given some anecdotal evidence in that to translate (3b) above into languages with morphological causatives, a morpheme would need to be attached to the verb.

Lexical causatives are apparently constrained to involving only one agentive argument. Semantically, the causer is usually marked as the patient. In fact, it's

unlikely whether any language has a lexical causative for verbs such as "swim," "sing," "read," or "kick." [Z]:3

# Irregular stem change[edit]

English *fell* (as in "Paul felled the tree") can be thought of as a lexical causative of *fall* ("the tree fell"), exemplifying this category. <sup>[1]:177</sup> This is considered a lexical change because it is not at all productive. If it were productive, it would be an internal change morphological causative (below). <sup>[1]:</sup>

#### Two words[edit]

English has verb pairs such as *rise* and *raise*, *eat* and *feed*, *see* and *show* where one is essentially the causative correspondent of the other. [1]:177

These pairs are linked semantically by various means, usually involving translation. For example, *burn*, as in *The grass burned* (intransitive), would translate as *awa-* in <u>Yimas</u> while *burn*, as in *I burned the grass* (transitive), would translate as *ampu-* in Yimas<sup>[2]:40</sup>

#### Morphological[edit]

There are eight different morphological processes by which a causative may be marked, roughly organized by compactness: 21:34

Process	Basic Verb	Causative Form	Language
internal change	<i>tìkti</i> 'be suitable'	<i>táikyti</i> 'make suitable'	Lithuanian
tone change	<i>n</i> ɔ̂ (high falling) 'be awake'	nō (low level) 'awaken, rouse'	<u>Lahu</u>
consonant repetition	xarab 'go bad'	xarrab 'make go bad, ruin'	Gulf Arabic
vowel lengthening	mar 'die'	ma:r 'kill'	<u>Kashmiri</u>
reduplication	bengok 'shout'	be- bengok 'make shout'	<u>Javanese</u>
prefix	gəbba 'enter'	a- gəbba 'insert'	Amharic
suffix	-kam- 'die'	-kam-isa- 'kill'	K'iche'
circumfix	-č'am- 'eat'	-a-č'm- ev- 'feed (make eat)'	Georgian

Within morphological causatives, this degree of compactness bears an important variable when considering the semantics of the two processes. For example, mechanisms that do not change the length of the word (internal change, tone

change) are shorter than those that lengthen it. Of those that lengthen it, shorter changes are more compact than longer.

Verbs can be classified into four categories, according to how susceptible they are to morphological causativization: [7]:4-11

- 1. Inactive intransitives (faint)
- 2. Middle/ingestive verbs (either intransitive or transitive such as *sit down*, *ascend*, *put clothes on*, *eat*, or *learn*)
- 3. Active intransitives (work)
- 4. Transitive verbs (carry)

This hierarchy has some exceptions, but it does generally hold true. For example, given a text of <u>Guarani</u>, only about 16% of causatives apply to transitives. For some languages, it may not apply to transitive verbs <u>productively</u> and may only apply to verbs that denote abstract action or consumption of food. Additionally, within <u>Athabaskan</u> family, all languages can causativize inactive intransitives, but not all of them can causativize active intransitives or even transitives. [Z]:5

## Two verbs in one predicate[edit]

A number of languages involve a form of analytic causative that involves two verbs in a single predicate, such as <u>French</u>, <u>Spanish</u>, <u>Italian</u> and <u>Catalan</u>. [2]:35 For example, when French *faire* is used as a causative, the causee noun phrase cannot occur between it and the next verb. [10]

```
je ferai manger les gâteaux à Jean 1sgA make+fut+1sg eat+inf the cakes prep Jean "I will make Jean eat the cakes." [2]:35
```

Unlike most other <u>Romance languages</u>, <u>Portuguese</u> uses a periphrastic construction like that of <u>English</u>, discussed below.

<u>Kiowa</u> uses a similar mechanism. Verbs can be compounded with the transitive verb *5m* to create a causative: [11]

```
bé-khó-ày-óm
2sgA-now-start.off-caus+imp
"Go ahead and run it [the tape recorder]!" (lit. "make it start off")
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#### Periphrastic constructions[edit]

Some languages use a periphrastic (or analytic) construction to express causation and typically include two verbs and two clauses. English causatives prototypically use *make* (but other verbs such as *cause*, *order*, *allow*, *force*, *compel* can be used) in the main clause with the lexical verb in a <u>subordinate clause</u>, as in "I made him go." [2]:35-7

Other languages, such as Persian, have the opposite syntax: the causative is in a subordinating clause and the main verb is in the main clause, as in the following example from Macushi:

[imakui'pî kupî Jesus-ya] emapu'tî yonpa-'pî makui-ya teuren

bad do Jesus-erg caus try-past Satan-erg frust

"Satan unsuccessfully tried to make Jesus do bad."[14]

<u>Canela-Krahô</u> has a combination of the two in which the causee is marked twice, once in each clause:

Capi te [i-jōt na] i-to

Name past 1sgS-sleep subordinator 1sgO-caus

"Capi made **me** sleep."[15]

Portuguese also has a periphrastic construction like that of English but unlike most other Romance languages:

Eu fiz José comer os bolos

1sg make+past+1sg Name eat+infin the cakes

"I made José eat the cakes."[16]

Analytic causatives are sometimes not considered to be valency increasing devices, but they can semantically be interpreted as such<sup>[1]</sup>.<sup>:181</sup>

#### Semantics[edit]

A language may have one or more different formal mechanisms for expression causation. For languages with only one, the semantic range is broad. For those with multiple, there is always a semantic difference between the two. [2]:61 R.M.W. Dixon breaks down these semantic differences into 9 parameters, involving the verb itself, the causee, and the causer: [2]:62-73

- (a) Parameters that relate to the verb itself
  - 1. State/Action: Can the causative apply to state and process verbs or does it apply to action verbs?
  - 2. **Transitivity**: Does the causative apply to only intransitives, to intransitives and some transitives, or to all verbs?
- (b) Parameters that relate to the thing being caused (the original S or A)
  - 3. Control: Does the causee have control of the activity?
  - 4. **Volition**: Does the causee do the action willingly or unwillingly?
  - 5. **Affectedness**: Is the causee completely or partially affected?
- (c) Parameters that relate to the causer (the new A in a causative construction)
  - 6. **Directness**: Does the causer act directly or indirectly?
  - 7. Intention: Is the result achieved accidentally or intentionally?
  - 8. **Naturalness**: Does the activity happen fairly naturally or is it with effort, violence, or force?

9. Involvement: How involved was the causer in the activity?

These parameters are not mutually exclusive. Many causative constructions involve the semantics of two or more parameters. However, the difference between the causatives in a language most likely will be distinguished by one of the parameters.

Relationship between devices and semantics[edit]

# **Animacy** of the object[edit]

There is a strong correlation between the <u>semantics</u> of a causative and the mechanism by which it is expressed. Generally, if a causative is more "compact" than another, it usually implies a more direct causation.

So, for <u>inanimate</u> and unconscious <u>objects</u>, English analytic causatives (1–3) are not completely synonymous with lexical causatives (4–6):

- 1. "I made the tree fall."
- 2. "I made the chicken die."
- 3. "I made the cup rise to my lips."
- 4. "I felled the tree."
- 5. "I killed the chicken."
- 6. "I raised the cup to my lips."

Analytic causatives (1–3) imply that no physical contact was involved and therefore was done by some sort of <u>magical power</u> or <u>telekinesis</u>. Lexical causatives (4–6) do not imply this meaning. [17]:784

For animate and conscious objects, there is a different difference in meaning:

- "He caused them to lie down."
- 2. "He laid them down."
- (1) only makes sense if *they* are animate and awake. Barring magic, (2) only makes sense if the object is inanimate or unconscious. [17]:784

#### Finite and non-finite verbs[edit]

Generally, the more distance between the causer and the causee, the more finite the verb. Consider the following examples from <a href="Spanish">Spanish</a>:

- (a) Montezuma hizo comer pan a Cortés.
  - M. caus:3sg:perf eat:inf bread dat C.

"Montezuma made Cortés eat bread."

- (b) Mottezuma hizo que Cortés comiera pan.
  - M. caus:3sg:perf that C. eat:3sg:sub bread

"Montezuma made Cortés eat bread."

The first example implies that Montezuma was physically there and was directly involved in making Cortés eat bread. The second example implies that Montezuma

was not physically there and arranged for something to happen to make Cortés eat bread, perhaps by killing all of his cattle. That could approximate the English construction "Montezuma got Cortés to eat bread." Therefore, at least in Spanish, a conjugated verb implies a more direct causation. [1]:185

### **Dixon's prototypes**[edit]

Dixon examines this correlation <u>cross-linguistically</u>, and summarizes his findings in the following table. In this table, L refers to lexical causatives,  $M_1$  refers to more compact morphological processes while  $M_2$  refers to less compact processes, CP refers to complex <u>predicates</u> (two verbs, one predicate), and P refers to periphrastic constructions. These processes are explained more clearly in the <u>devices</u> section above.

	Meaning		Mechanism		Language
Parame ter	Causati ve type 1	Causati ve type 2	Causati ve type 1	Causative type 2	
	state	action	M <sub>1</sub>	M <sub>2</sub>	Amharic
1			М	Р	Bahasa Indonesian, Malay
	intransiti ve	all transitive	М	Р	Austronesian languages, Mayan languages, etc.
2	intransiti ve and simple transitiv e	ditransiti ve	М	Р	Basque, Abkhaz
3	causee lacking control	ng having	L	М	<u>Japanese</u>
			M <sub>1</sub>	M <sub>2</sub>	Creek
	causee willing	causee unwilling	M <sub>1</sub>	M <sub>2</sub>	Swahili
4			М	СР	Tangkhul Naga
			М	Р	Swahili

5	causee partially affected	causee fully affected	M <sub>1</sub>	M <sub>2</sub>	<u>Tariana</u>
6	direct	indirect	M <sub>1</sub>	M <sub>2</sub>	Nivkh, Apalaí, Hindi, Jing paw
			М	Р	Buru, Chrau, Alamblak, M ixtec, Korean
7	intentio nal	accident al	М	СР	Kammu
			Р	M plus P	Chrau
	naturall y	with effort	L	М	<u>Fijian</u>
8			L	Р	<u>English</u>
			М	Р	Russian, Tariana

Parameter 9, Involvement, cannot be included in the table because the only two languages with this distinction, <u>Nomatsiguenga</u> and <u>Kamayurá</u>, the morphemes are about the same length. <sup>[2]:75</sup> When a larger sample of languages show this distinction, perhaps this parameter can be included in the table.

The table shows that for each of eight semantic parameters outlined in the <u>semantics</u> section above, more compact causative processes show one distinction while less compact processes show the other distinction. For example, Parameter 6 distinguishes between more direct and less direct causation. In <u>Hindi</u>, M<sub>1</sub>, or the shorter morphological process, shows direct causation while M<sub>2</sub>, the longer morphological process, shows indirect causation.

Summarizing the table, Dixon has given two prototypes for causatives: 21:77

#### Prototype 1

- Causer achieves the result natural, intentionally, and directly
- Causee either lacking control or being willing and may be partially affected
- · Less transitive verbs affected

#### Prototype 2

- Causer achieves the result accidentally, with effort, or acts indirectly
- Causee is in control but unwilling and is completely affected.
- More likely to apply to all types of verbs

All eight of the components in each prototype are never attested in a single causative. However, a single process may have two or three components. Dixon admits to these being very tentative and in need for further investigation. [2]:77–8

#### Syntax[edit]

<u>R.M.W. Dixon</u> also outlines the syntactic possibilities of causatives in the world's languages.

#### Intransitives[edit]

Since <u>intransitive verbs</u> have low <u>valency</u>, virtually any type of causative construction can apply to them <u>productively</u> within a language. Some constructions are *only* allowed with intransitive verbs and some languages (such as <u>Arabic</u>, <u>Blackfoot</u>, and <u>Gothic</u>) only allow causatives of intransitive verbs, with some exceptions. <sup>[7]:5</sup> In all cases, the original subject of the underlying intransitive verb corresponds with the object of the derived transitive verb. All languages have this construction, though some allow a semantic difference if the original subject is marked differently (such as <u>Japanese</u> and <u>Hungarian</u>). <sup>[2]:45</sup>

For split systems, causatives of intransitives may be treated differently. [2]:45

The syntax of a causative construction is almost always the same as some other type of sentence, such as a sentence with a transitive verb. <u>Tariana</u>, however, is an exception to this rule. [2]:45

#### Transitives[edit]

In the causative of a <u>transitive verb</u>, the new causer always becomes the new A of the sentence. What happens to the causee and the original object depend on the language. Dixon shows that there are five main types of situations:

Causative of a transitive [2]:48–56				
typ e	cause r	original A (causee )	origina I O	languages
(i)	А	special marking	0	Nivkh, Telugu
(ii)	A	retains A- marking	O	Kabardian, Trumai, Qiang
(iii)	A	has O- marking	has O- markin g	Hebrew, Tariana, Amharic, Sanskrit <sup>[1]</sup> :
(iv)	А	0	non- core	Javanese, Swahili, Kammu, Babungo
(v)	А	non- core	О	many languages

Within type (v) there are two main subtypes. Either the original A goes into the first empty slot in a hierarchy or it goes always goes takes a certain function. [2]:54

For the first subtype, there is a hierarchy involved in the language:

subject > direct object > indirect object > oblique > genitive > object of comparison. [18]

<u>French</u> is a language that follows this hierarchy. When a causative is employed, the original A does not get marked the same for intransitives, transitives, and ditransitives. <sup>[2]:54</sup> In this first example, the verb in intransitive, and with the subject slot taken, the original A becomes a direct object:

je ferai courir Jean

1sg+nom make+fut+1sg run+inf Jean

"I will make Jean run."

The following example has a transitive verb. The subject and direct object slots are filled (with *je* and *les gâteaux*, respectively) so the original A becomes an indirect object:

je ferai manger les gâteaux à Jean

1sg+nom make+fut+1sg eat+inf the cakes prep Jean

"I will make Jean eat the cakes."

This final French example has a ditransitive verb. The subject is *je*, the direct object is *une lettre*, and the indirect object is *directeur*, so the original A is marked as an oblique:

While some writers have called this hierarchical causative construction the norm, [18]:8 outside of Romance languages it is in fact rather rare. [2]:54

Most other languages are of the second subtype of type (v), and the original A takes on a set case or marking, regardless whether the underlying verb is intransitive or transitive:

# Further divisions of type (v)[2]:55

cause r	original A (causee)	origin al O	languages
А	dative	0	Sanuma, Apalai, Kamaiurá, Turkish, Japa nese
А	instrument al	0	Hungarian, Kannada, Marathi
Α	<u>locative</u>	0	Some languages of <u>Daghestan</u>
Α	<u>allative</u>	0	West Greenlandic Eskimo

<sup>&</sup>quot;I will make Jean write a letter to the headmaster"

А	<u>adessive</u>	0	The morphological causative in Finnish.
Α	possessive	0	<u>Tsez</u>

# Ditransitives[edit]

The syntactic and morphological constraints of individual language generally restrict causatives of <u>ditransitive verbs</u>. The underlying phrase already contains an A, O, and <u>indirect object</u>, and so in order to accommodate a fourth <u>argument</u>, languages employ a variety of constructions. They tend to be idiosyncratic and are difficult to group together into types. Additionally, data is patchy for many languages since descriptions of languages seldom include information of causatives of ditransitives. [2]:56-9

#### Double causatives[edit]

Some types of causative constructions essentially do not permit double causatives, *e.g.* it would be difficult to find a lexical double causative. Periphrastic causatives however, have the potential to always be applied iteratively (*Mom made Dad make my brother make his friends leave the house.*).

Many Indo-Aryan languages (such as Hindustani) have lexical double causatives.

For morphological causatives, some languages do not allow single morpheme to be applied twice on a single verb (<u>Jarawara</u>) while others do (<u>Capanawa</u>, <u>Hungarian</u>, <u>Turkish</u>, <u>Kabardian</u>, <u>Karbi</u>), though sometimes with an idiomatic meaning (<u>Swahili's</u> means *force to do* and <u>Oromo's</u> carries an intensive meaning). Other languages, such as <u>Nivkh</u>, have two different morphological mechanisms that can apply to a single verb. Others still have one morpheme that applies to intransitives, and another to transitives (<u>Apalai</u>, <u>Guarani</u>). All of these examples apply to underlying intransitive verbs, yielding a ditransitive verb. So far, there has yet been any reliable data for a morphological double causative of a transitive verb, resulting in a verb with four arguments. [2]:59-61

#### Other topics[edit]

#### Causative (repetitive)[edit]

<u>Yokuts</u>, an indigenous language spoken in California, has a morpheme, *-lsa*, that indicates causation in addition to repetition. This is separate from the language's normal mechanisms of causation.

-unho

-'utorlsunhu'-'utur -lsar

play music caus agentive

This implies a single act by the causer, but multiple acts by the causee. [19]

#### Causative voice[edit]

<sup>&</sup>quot;one who makes (people) play music repeatedly"

The **causative voice** is a <u>grammatical voice</u> promoting the <u>oblique argument</u> of a transitive verb to an actor argument. When the causative voice is applied to a verb, its <u>valency</u>increases by one. If, after the application of the grammatical voice, there are two actor arguments, one of them is obligatorily demoted to an oblique argument.

<u>Japanese</u>, <u>Turkish</u> and <u>Mongolian</u> are examples of languages with the causative voice. The following are examples from Japanese:

```
Tanaka-kun ga atsume-ru

name nom collect-pres

"Tanaka collects them."

Tanaka-kun ni atsume-sase-yō

name dat collect-caus-cohort

"Let's get Tanaka to collect them."

kodomo ga hon o yom-u

children nom book acc read-pres

"Children read books."

kodomo ni hon o yom-ase-ru

children dat book acc read-caus-pres

"(They) make children read books."
```

Causal case[edit]

The **causal** or **causative case** (<u>abbreviated</u> **caus**) is a <u>grammatical case</u> that indicates that the marked noun is the cause or reason for something. It is found in the <u>Dravidian</u>language <u>Telugu</u> and the <u>Native South American</u> language <u>Quechua</u>. It is also found in <u>Tocharian B</u>, an Indo-European language.

### Causal-final case[edit]

The **causal-final** is a <u>grammatical case</u> in <u>Hungarian</u> (and <u>Chuvash</u>) expressing the meaning 'for the purpose of, for the reason that', [20]:93 and denoting price asked of or paid for goods. [20]:116 It is formed by adding the ending suffix *-ért* to the end of the noun, e.g. *kenyér* "bread" *>kenyérért* "for bread", e.g. *elküldtem a boltba kenyérért* "I sent him to the store for bread". [20]:115 It is not affected by <u>vowel harmony</u> in Hungarian. [20]:111

Literature[edit]

#### Shibatani[edit]

Shibatani lists three criteria for entities and relations that must be encoded in linguistic expressions of causation:

- 1. An agent causing or forcing another participant to perform an action, or to be in a certain condition
- 2. The relation between [the] two events [=the causing event, and the caused performing/being event] is such that the speaker believes that the occurrence of one event, the "caused event," has been realized at t2, which is after t1, the time of the "causing event"
- 3. The relation between causing event and caused event is such that the speaker believes the occurrence of the caused event depends wholly on the occurrence of the causing event—the dependency of the two events here must be to the extent that it allows the speaker a counterfactual inference that the caused event would not have taken place at a particular time if the causing event had not taken place, provided that all else had remained the same. [Citation needed]

This set of definitional prerequisites allows for a broad set of types of relationships based, at least, on the lexical verb, the semantics of the causer, the semantics of the causee and the semantics of the construction explicitly encoding the causal relationship. Many analysts (Comrie (1981), Song (1996), Dixon (2000) and others) have worked to tease apart what factors (semantic or otherwise) account for the distribution of causative constructions, as well as to document what patterns actually occur cross-linguistically.

#### Comrie[edit]

Bernard Comrie<sup>[21]</sup> focuses on the typology of the syntax and semantics of causative constructions proper. Crucially, Comrie (and others to be discussed here) distinguish between the linguistic encoding of causal relations and other extralinguistic concerns such as the nature of causation itself and questions of how humans perceive of causal relations. While certainly not irrelevant, these extralinguistic questions will, for now, be left aside. Comrie usefully characterizes causative events in terms of two (or more) microevents perceived of composing a macroevent, and encoded in a single expression (of varying size and form). Formally, he categorizes causatives into 3 types, depending on the contiguity of the material encoding the causing event and that encoding the caused event. These are: 1) lexical causatives, in which the two events are expressed in a single lexical

item, as in the well-discussed case of English kill; 2) morphological causatives, in which the causing event and the caused event are encoded in a single verbal complex via causative morphology, and, prototypically, morphological marking showing the status of affected arguments. Finally, Comrie discusses analytic causatives, in which the causing event and the caused event are encoded in separate clauses.

Comrie's work is also noteworthy for having brought the notion of syntactic hierarchy to bear on the typology of causative constructions. A hierarchy of grammatical relations had already been formulated to help explain possibilities for relative clause formation (first presented as Keenan and Comrie's (1972) NP accessibility hierarchy; see Croft 1990: 147), and Comrie argued that a similar hierarchy was in play, at least in some constructions, in the marking of the original A argument when a base transitive clause is causativized. The hierarchy is as follows:

• subject > direct object > indirect object > oblique > genitive

Comrie's argument was, in short, that some causativized-transitive constructions mark the new A as belonging to the leftmost available slot in the above hierarchy. Dixon (2000) fleshes out a version this analysis in more detail.

#### Song[edit]

Presenting a typology of causatives and causation based on a database of 600 languages, Song<sup>[22]</sup> is very critical of typological work that depends on statistical inference, citing data from the Niger-Congo family that contradicts some earlier claims that "languages within genera are generally fairly similar typologically". [citation needed] Song therefore culls data from every language for which adequate documentation is available to him, and categorizes the various causative constructions gleaned therefrom into three classes: **COMPACT**, **AND** and **PURP**.

Song employs the following terminology:

- [Scause] the clause which denotes a causing event
- [Seffect] the clause which denotes the caused event
- [Vcause] verbal elements of [Scause]
- [Veffect]- verbal elements of [Seffect]<sup>[22]:20</sup>

The major differences between Song's analysis and Comrie (1981) and Dixon (2000), is that Song lumps the range of lexical and morphological causatives together under the label COMPACT, [22]:20 in which [Vcause] can be "less than a free morpheme" (e.g., bound morpheme [prefix, suffix, infix, circumfix, reduplication], zero-derivation, suppletion); or "a free morpheme", [22]:28 in which [Vcause] and [Veffect] form a single grammatical unit. Most of the examples given look like serial verb constructions, and no in-depth analysis is undertaken for some of the constructions in which [Vcause] and [Veffect] are less formally contiguous. Song notes this non-contiguity, but does not undertake to explain why it might be important.

The AND causative, for Song, is any construction with a separate [Scause] and [Seffect] i.e., in which "two clauses [are] involved". This, in theory, could include larger, multi-clausal expressions of causal relations which many analysts probably would not label a 'causative construction', e.g.: 'It rained yesterday, so they stayed home', but the boundaries of the AND causative category are not discussed.

One of Song's major contributions to the literature [according to whom?] is fleshing out an analysis of his PURP causative. These are constructions which encode intended causation on the part of the causer, but which do not encode any outcome: i.e., the speaker encodes [Vcause] and causer intentionality, but remains agnostic as to whether [Veffect] was felicitously effected.

# Talmy[edit]

Leonard Talmy<sup>[23]</sup> conducts an in-depth investigation of different types of causal relations. Talmy refers to these as "lexicalization patterns," a term which remains unclear to me<sup>[who?]</sup>, given that few of the examples given in his discussion are lexical items, and most interpretations of "different types of causation incorporated in the verb root" are in fact wholly dependent on other morphosyntactic material in the clause. Let us<sup>[who?]</sup> first examine his list of possible (semantic) causative types, <sup>[23]:69–70</sup> with examples:

- autonomous events (non-causative) The vase broke.
- resulting-event causation The vase broke from a ball's rolling into it.
- causing-event causation A ball's rolling into it broke the vase.
- instrument causation A ball broke the vase.
- author causation (unintended) I broke the vase in rolling a ball into it.
- agent causation (intended) I broke the vase by rolling a ball into it.
- undergoer situation (non-causative) My arm broke (on me) when I fell.
- self-agentive causation I walked to the store.
- caused agency (inductive causation) I sent him to the store.

One question remaining to be explored is how this set of divisions usefully differs from other analysts' typologies of the semantics of encoding causal relations. Some overlap in the types of semantic information in play is immediately apparent, however: in cases of instrument causation ('the hammer broke the cup'), we would certainly expect the 'causer' to be acting directly [Dixon's criterion 6] and to be involved in the activity [criterion 9]; likewise, we would expect instances of caused agency to include more information on causee control on willingness [criteria 3 & 4].

Indo-European languages[edit]

#### Germanic languages[edit]

#### Proto-Germanic[edit]

In <u>Proto-Germanic</u>, the parent language of <u>Germanic languages</u> such as English, causative verbs are formed by adding a suffix *-j/ij-* to the past-tense ablaut of a strong verb, with <u>Verner's Law</u> voicing applied. (All of those characteristics derive from the way that causative verbs are formed in <u>Proto-Indo-European</u>, with an accented *-éy-* suffix added to the *o-*grade of a non-derived verb.) Here are some examples:

- \*rīsaną (I) "to rise" → \*raizijaną "to raise", i.e. "to cause to rise"
- \*frawerþaną (III) "to perish" → \*frawardijaną "to destroy", i.e. "to cause to perish"
- \*nesana (V) "to survive" → \*nazjana "to save", i.e. "to cause to survive"

- \*ligjana (V) "to lie down" → \*lagjana "to lay": "to cause to lie down"
- \*grētana (VII) "to weep" → \*grōtijana "to cause to weep"

In English, to sit/to seat", and in German, sitzen/setzen form pairs of causatives/resultatives.

#### English[edit]

English uses various causative mechanisms, with varying degrees of <u>productivity</u>. There are a large number of lexical causatives, such as *kill*, *open* and *feed*.[7]:2

Additionally, there are several morphemes that can express causation. For example, -(i)fy can be thought of as a causative in that it is a <u>derivation</u> that turns an adjective or noun into a "verb of becoming":

- simple → simplify = "to make simple", "to cause (sthg.) to become simple"
- object → objectify = "to make into an object", "to cause (sthg.) to become an object" (figuratively, that is)

en- can also be a causative. In English, adjectives (or stative verbs in other languages) can express the acquisition of a quality or changes of state with causatives, in the same way as with regular verbs. For example, if there is a stative verb to be large, the causative will simply mean to enlarge, to make grow. The reflexive form of the causative can then be used to mean to enlarge oneself, or even as a middle voice, to grow.

As far as lexical causatives are concerned, English has at least 49 causative verbs. Roughly half affect only sentient

beings: allow, block, cause, enable, force, get, help, hinder, hold, impede, keep, lea ve, let, make, permit, prevent, protect, restrain, save, set, start, stimulate, stop. The others can affect either sentient or non-sentient

beings: aid, bar, bribe, compel, constrain, convince, deter, discourage, dissuade, dri ve, have, hamper, impel, incite, induce, influence, inspire, lead, move, persuade, pr ompt, push, restrict, rouse, send, spur.<sup>[24]</sup>

#### Sanskrit[edit]

In <u>Sanskrit</u>, there is a causative form of the verb (*nijanta*), which is used when the <u>subject</u> of a clause forces or makes the <u>object</u> perform an action. The causative <u>suffix</u> -ay is attached to the <u>verbal root</u>, which may cause vowel <u>sandhi</u> to take place:

- bhū "to be, exist" → bhāv-av; for example, bhāvayati "he causes to be"
- khad "to eat" → khād-ay; for example, khādayāmi "I cause to eat" = "I feed"

#### Persian[edit]

In <u>Persian</u>, the causative form of the verb is formed by adding  $\hat{a}n(i)dan$  to the present stem:

- xordan (to eat)  $\rightarrow xor$  (present stem)  $\rightarrow xor\hat{a}ndan$  (to cause/make to eat)
- xandidan (to laugh) → xand (present stem) → xandândan (to cause/make to laugh)

#### Lithuanian[edit]

In <u>Lithuanian</u>, the causative form of the verb is made by adding <u>suffix</u> -in- to the present stem:

- skraidyti (to fly) → skraidinti (to make to fly)
- sėdėti (to sit) → sodinti (to make to sit)
- *juoktis* (to laugh) → *juokinti* (to make to laugh)

# Latin[edit]

The topic of causatives has not been studied much for <u>Latin</u>, mainly because of its lack of productive morphological causative. [6]:2

# Hindi and Urdu[edit]

Hindi and Urdu use the infix "-(I)ā-" and -(I)vā-" to make verbs causative.

- karnā "to do" → karānā "to have done" → "karvānā" → "to have someone make someone do."
- paṛhnā "to read" → parhānā "to make someone read" → "paṛhvānā" "to cause someone to make someone read."
- hilnā "to move" → hilānā "to have something moved" → hilvānā "to have someone make something move."
- pīnā "to drink" → pilānā "to have someone drink" → pilvānā "to have someone make someone drink": "Usne naukrānī se bachchō-ko pānī pilvāyā" "She had the maid make the kids drink water."

#### Turkish[edit]

In addition to very productive morphological causatives, <u>Turkish</u> also has some lexical

causatives: *kır-* "break", *yırt-* "split", *dik-* "plant", *yak-* "burn", *sakla-* "hide", *aç-* "open "[IZ]:2

#### Semitic languages[edit]

In most <u>Semitic languages</u>, there is a causative form of the verb. It is postulated that in <u>Proto-Semitic</u>, the causative verbal stem was formed by the *š*- prefix, which has become 'a-, hi- or ī- in different languages.

- Syriac: kəθav "he wrote" → `axtev "he composed"
- Arabic: 'alima "he knew" → 'a 'lama "he informed"
- Hebrew: ṣaħak "he laughed" → hiṣħik "he made someone laugh"

Arabic also has a causative form (Form II) created by <u>gemination</u> of the central consonant of the <u>triliteral root</u>, as follows:

'alima "he knew" → 'allama "he taught"

The 'a- form (Form IV), while it is used in <u>Modern Standard Arabic</u>, is no longer productive in many of the colloquial <u>varieties of Arabic</u>, which uniformly prefer Form II.

Japanese[edit]

<u>Japanese</u> has a morphological device to signify causation. Many verbs undergo internal change in various semiregular patterns between intransitive forms and causative transitive forms:

- agaru "to go up, to rise" → ageru "to raise"
- magaru "to turn" → mageru "to bend"
- kowasu "to break" → kowareru "to be broken"

Japanese causatives' syntax allows a two-way distinction in the causee's willingness to perform the action. If the new object is marked in the <u>accusative</u> <u>case</u> (o), it suggests that the causee did the action willingly. However, if the object is marked in the <u>dative case</u> (ni), it expresses the idea that the causee was forced to perform the action. [2]:45

# Khmer[edit]

Khmer has six prefixes and one infix to derive the causative form of verbs, but they vary in frequency and productiveness. The consonantal prefix *p*- is one of them:

- coap "joined" → pcoap "to join"
- cum "around" → pcum "to gather"

Uralic languages[edit]

#### Finnish[edit]

Causative forms are also found in the Uralic languages of Europe, such as Finnish:

- syödä "to eat" → syöttää "to feed"
- täysi "full" → täyttää "to fill"
- haihtua "to evaporate" → haihduttaa "to vaporize"

The causative suffix is often used irregularly and/or because of historical reasons, as the following Finnish examples:

- olla "to be" → olettaa "to assume", not "to make exist"
- kirja- ancient "patterns (of embroidery or text)" but modern "book"
   → kirjoittaa "to write" ("transform into patterns of text"), not "to transform into books"

# Hungarian[edit]

<u>Hungarian</u> marks the original subject of an intransitive differently in causative forms to convey direct causation. If the causee is marked by the <u>accusative case</u>, a more direct causation is implied than if the <u>instrumental case</u> is used. [2]:45-6

Austronesian languages[edit]

#### Maori[edit]

In Maori, an Austronesian language, the whaka- prefix can be added to a verb:

• ako "to learn" becomes whakaako "to teach" (to cause to learn)

#### Philippine languages[edit]

In <u>Philippine languages</u> such as <u>Tagalog</u> and <u>Ilokano</u>, the *pa*- prefix is added to verbal forms and to adjectives to form causatives:

- dakkel "big (adjective)" → padakkelen "to enlarge" (Ilokano)
- kain "eat" → pakainin "to make eat, to feed" (Tagalog)

#### Guaraní[edit]

In <u>Guaraní</u>, there are three causatives: one for transitive verbs and two for intransitive verbs. [25] In some texts, the first one is called "coactive." [26]

The -uka suffix (or one of its allomorphes: -yka, -ka) is added to transitive verbs: [25]

ajapo "I make" → japouka "I make (someone) do".

The mbo- prefix is added to intransitive oral verbs and is replaced by mo- for nasal verbs: [25][26]

- puka<sup>[27]</sup> "to laugh" → mbopuka "to make (someone) laugh"
- guata<sup>[27]</sup> "to walk" → mboguata "to guide"
- pu'ã<sup>[27]</sup> "to go up" → mopu'ã "to elevate"

The *guero-* (*rero-* or just *ro-*) prefix can also be added to intransitive verbs. It has a <u>comitative</u> meaning and translates roughly as "to cause something or someone to participate in an action with the subject:"[28]

 guata "to walk" → roguata "to make (someone) take a walk with (the subject)"

The same root (*guata*) can take both causatives but with different meanings.

Uto-Aztecan languages[edit]

#### Classical Nahuatl[edit]

<u>Classical Nahuatl</u>, in the <u>Uto-Aztecan language</u> family, has a well-developed morphological system of expressing causation by means of the suffix *-tia*:

tlacua "he eats" → quitlacualtia "he feeds him/her/it" the causative makes
the intransitive verb "eat something" into the bitransitive verb "feed someone
something," requiring a pronominal prefix, in this case qui- "him/her/it")

Causativity is often used in honorific speech in Classical Nahuatl, and rather than simply "doing," the honored person "causes himself to do." [29]

Athabaskan languages[edit]

Rice makes the following points about morphological causatives in <u>Athabaskan</u> languages: [30]:212

- In all Athabaskan languages surveyed [including Hupa, for which an ample data set is presented], the causativizing morphology can causativize at least some intransitive verbs with patientive subjects. [31]:200-2
- For intransitive verbs with agentive patients, the family shows a split: only some languages then allow morphological causativization. [31]:208

- Koyukon (Northern Athabaskan; Alaska) was found to be the only language in the survey allowing productive morphological causativization of transitive verbs. [31]:211
- Perhaps the presence of the direct object pronoun in the causative construction has something to do with whether the causee is human or animate or is capable of being regarded as such. When the causee or the verb cannot be or is not perceived as a potential controller, the pronoun is not found [in the Athabaskan languages surveyed]. [citation needed]

The semantic factor of causee control, or the degree of control that that causee wields over the effecting of the caused microevent (also discussed as parameter #3 on Dixon's (2000:62) list) and which Rice (2001) finds to be a major factor in other Athabaskan causatives helps account for much of the distribution of the Hupa syntactic causative (below).

#### Hupa[edit]

Golla, in his (1970) descriptive grammar of <u>Hupa</u> (summarized in Sapir and Golla (2001)), describes three classes of morphologically-derived causatives:

While Golla does not generalize about the semantics of verb themes that are compatible with causative I-, several preliminary generalizations can be made. Firstly, in the three cases described by Golla, O [the undergoer] is neither controlling nor agentive; O is largely patientive in all cases. Secondly, the causer appears to be acting directly on O. Thirdly, none of the examples given (including the examples above) involve the causativization of a base-transitive theme.

Central Alaskan Yup'ik[edit]

Mithun (2000) lists nine causatives for <u>Central Alaskan Yup'uk</u> and describes each in detail. [32]:98–102 Here is a brief description of each:

The periphrastic causatives use the verbs *-teer-* and *-tum-*, which mean *cause*. With *-teer-*, the original S becomes the O of the main clause, leaving the original verb in the infinitive, just like in English: [33]:160–1

(1a.) Ábáana b-a-gii-ye.

children they-pst-go-asp

"The children left."

(1b.) Umugabo y-a-tee-ye ábáana ku-geend-a.

man he-pst-cause-asp children inf-go-asp

"The man caused the children to go.

With *-túm-*, the original S remains in the embedded clause and the original verb is still marked for person and tense: [33]:161-2

(2a.) N-a-andits-e amábárúwa meênshi.

**I-pst-write-asp** letters many

"I wrote many letters.

(2b.) Umukoôbwa y-a-tum-ye **n-á-andik-a** amábárúwa meênshi.

girl she-pst-cause-asp **I-pst-write-asp** letters many

"The girl caused me to write many letters."

Derivational causatives use the *-iish-* morpheme, which can be applied to intransitives (3) or transitives (4):[33]:164

(3a.) Ábáana ba-rá-ryáam-ye.

children they-pres-sleep-asp

"The children are sleeping."

(3b.) Umugóre a-ryaam-iish-ije ábáana

woman she-sleep-caus-asp children

"The woman is putting the children to sleep."

(4a.) Ábáana ba-ra-som-a ibitabo.

children they-pres-read-asp books

"The children are reading the books."

(4b.) Umugabo a-ra-som-**eesh**-a ábáana ibitabo.

man he-pres-read-caus-asp children books

"The man is making the children read the books."

The suffix -iish- implies an indirect causation (similar to English have in "I had him write a paper"), but other causatives imply a direct causation (similar to English make in "I made him write a paper"). [33]:166

One of the more direct causation devices is the deletion of what is called a "neutral" morpheme -ik-, which indicates state or potentiality. Stems with the -ik- removed can take -iish, but the causation is then less direct: [33]:166

-mének- "be broken" -mén- "break" -méneesh- "have (something) broken" -sáduk- "be cut" -sátur- "cut" -sátuz- "have (something) cut"

Another direct causation maker is -y- which is used for some verbs: [33]:167

(5a.) Ámáazi a-rá-shyúuh-a.

water it-pres-be warm-asp

"The water is being warmed."

(5b.) Umugóre a-rá-shyúush-**y**-a ámáazi.

woman she-pres-warm-caus-asp water

"The woman is warming the water."

(5c.) Umugabo a-rá-shyúuh-**iish**-a umugóre ámáazi.

man he-pres-warm-caus-asp woman water.

"The man is **having** the woman warm the water.

# Esperanto[edit]

In Esperanto, the suffix -ig- can be added to any kind of word:

- morti "to die" → mortigi "to kill"
- pura "clean (adj)" → purigi "to clean"

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# Causatives of a specific language[edit]

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#### **Causative verb forms**

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I got my hair cut in the morning. This is an example of the causative form. Causative verbs are used to talk about getting something done by somebody else. Some common expressions using the causative verb forms are given below.

- You must have your hair washed. / You must get your hair cut.
- You must get that carpet cleaned.
- You must get / have your nails polished.
- We must have the roof repaired.

In this structure we use the **past participle form** of the verb.

• We must have the house painted. (NOT We must have the house painting.) (NOT We must have the house paint.)

Causative forms are also possible with **make**. Causative forms of make often imply the idea that somebody has a certain authority over somebody else.

- He made me cry.
- They made her repeat the whole story. (= They forced her to repeat the whole story.)
- She made the child drink the milk.
  I made him do the homework.
- He made her cook dinner.
- She made me accompany her.

Note that in this structure we use the infinitive without to.

• She made me wait for hours. (NOT She made me waiting for hours.) (NOT She made me waited for hours.) (NOT She made me to wait for hours.)

Passive structures are possible in some cases and then we use an infinitive with to.

- She was made to repeat the whole story. (NOT She was made repeat the whole story.)
- I was made to wait for hours. (NOT I was made wait for hours.)

#### **REFERENCES**

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