

Smart, Creative and Entrepreneurial



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CMC 101 TOPIK DALAM PEMROGRAMAN PERTEMUAN 12 PROGRAM STUDI MAGISTER ILMU KOMPUTER FAKULTAS ILMU KOMPUTER





TOPIK DALAM PEMROGRAMAN Brute Force & Exhaustive Search

Pertemuan 12



TUJUAN PERKULIAHAN

- Mahasiswa memahami beberapa tipe persoalan yang penting.
- Binary Search
- Binary Search Experiments
- Merge Sort
- Merge Sort Experiments
- Recursive Methods

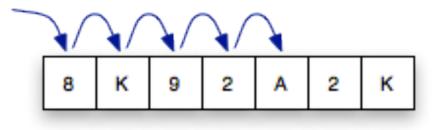
Divide and Conquer

Breaking large problems into smaller subproblems



Iterative Searches

- The previous slides on iterative algorithms introduced search algorithms that did a "linear scan" through a list
 - to find a particular item: search(a,x)
 - start at the front of a, scan right until x found
 - to find the largest item: max(a)
 - set place-holder to a[0], scan from a[1] to a[n-1], updating place-holder

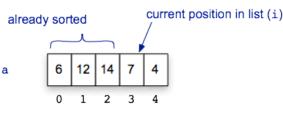


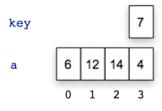


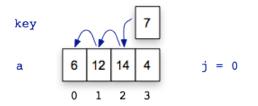
Simple Sorts

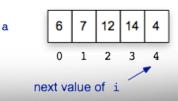
- Those slides also introduced a sorting algorithm that used a similar strategy
- Scan the list from left to right, and for each item x:
 - $\ \ \, \star \ \ \, remove \ \ \, x \ from \ the \ list \ \ \,$
 - $\boldsymbol{\ast}$ scan left to find a place for \mathbf{x}
 - ✤ re-insert x into the list
- This "insertion sort" algorithm has nested loops
 - ✤ outer loop is a linear progression left to right
 - $\boldsymbol{\ast}$ inner loop scans back to find a place for \mathbf{x}
- The number of comparisons made when sorting a list of n items is as high as

$$(n \times (n-1))/2 \approx n^2/2$$











Divide and Conquer

- The common theme for the previous slides: iterate over every location in the list
- The common theme for this chapter's slides: divide and conquer
 - break a problem into smaller pieces and solve the smaller sub-problems
- + It may not seem like that big a deal, but the improvement can be dramatic
 - approximate number of comparisons (worst case):

	search		sort				
	<i>n</i> = 100	<i>n</i> = 1,000		<i>n</i> = 100	<i>n</i> = 1,000		
search	100	1,000	isort	5,000	500,000		
bsearch	7	10	msort	700	10,000		



Searching a Dictionary

- To get a general sense of how the divide and conquer strategy improves search, consider how people find information in a phone book or dictionary
 - suppose you want to find "janissary" in a dictionary
 - open the book near the middle
 - the heading on the top left page is "kiwi", so move back a small number of pages
 - here you find "hypotenuse", so move forward
 - find "ichthyology", move forward again
- The number of pages you move gets smaller (or at least adjusts in response to the words you find)





Searching a Dictionary

- A detailed specification of this process:
 - 1. the goal is to search for a word w in region of the book
 - 2. the initial region is the entire book
 - 3. at each step pick a word *x* in the middle of the current region
 - 4. there are now two smaller regions: the part before *x* and the part after *x*
 - 5. if w comes before x, repeat the search on the region before x, otherwise search the region following x (go back to step 3)
- Note: at first a "region" is of a group of pages, but eventually a region is a set of words on a single page







A Note About Organization

- An important note: an efficient search depends on having the data organized in some fashion
 - ✤ if books in a library are scattered all over the place we would have to do an iterative search
 - ✤ start at one end of the room and progress toward the other
- If books are sorted or carefully cataloged we can try a binary search or other method





http://www.endlessbookshelf.net/shelves.html



Binary Search

- The binary search algorithm uses the divide-and-conquer strategy to search through an array
- The array *must be sorted*
 - the "zeroing in" strategy for looking up a word in the dictionary won't work it the words are not in alphabetical order
 - binary search will not work unless the array is sorted

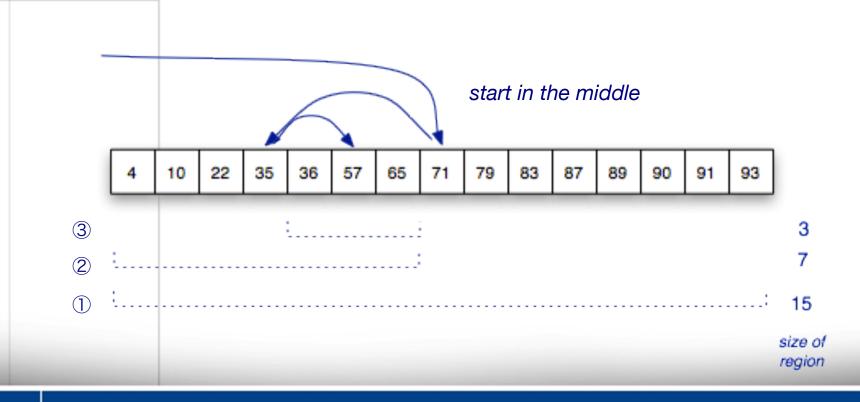






Binary Search

- To search a list of *n* items, first look at the item in location n/2
 - then search either the region from 0 to n/2-1 or the region from n/2+1 to n-1
- + Example: searching for 57 in a sorted list of 15 numbers



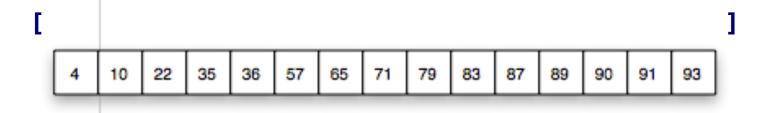


 The algorithm uses two variables to keep track of the boundaries of the region to search

lower the index *one below* the leftmost item in the region

upper

the index one above the rightmost region



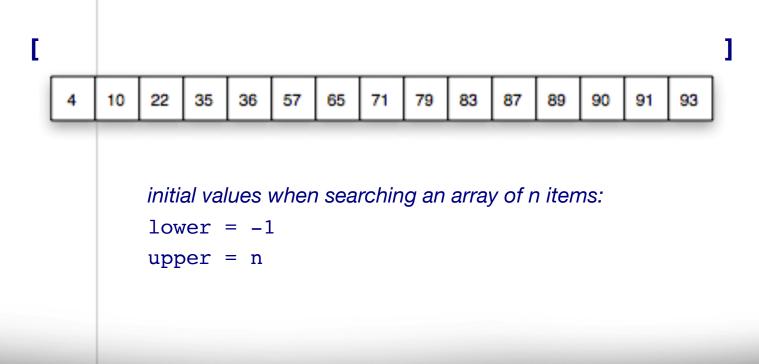
initial values when searching an array of n items:

```
lower = -1
```

```
upper = n
```



- The algorithm is based on an iteration ("loop") that keeps making the region smaller and smaller
 - the initial region is the complete array
 - the next one is either the upper half or lower half
 - the one after that is one quarter, then one eighth, then...

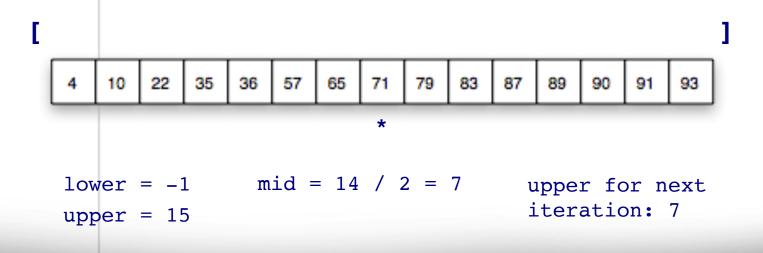




The heart of the algorithm contains these operations:

```
mid = (lower + upper) / 2
return mid if k == a[mid]
upper = mid if k < a[mid]
lower = mid if k > a[mid]
```

♦ The first iteration when searching for 57 in a list of size 15:

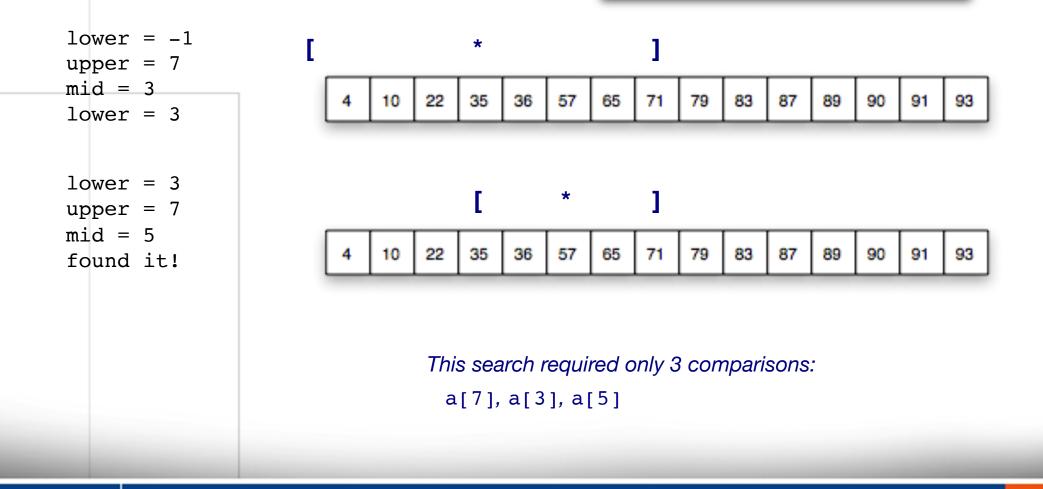




The remaining iterations when

searching for 57:

mid = (lower + upper) /
2return mid if k ==
a[mid]upper = mid if k <
a[mid]lower = mid if k >
a[mid]

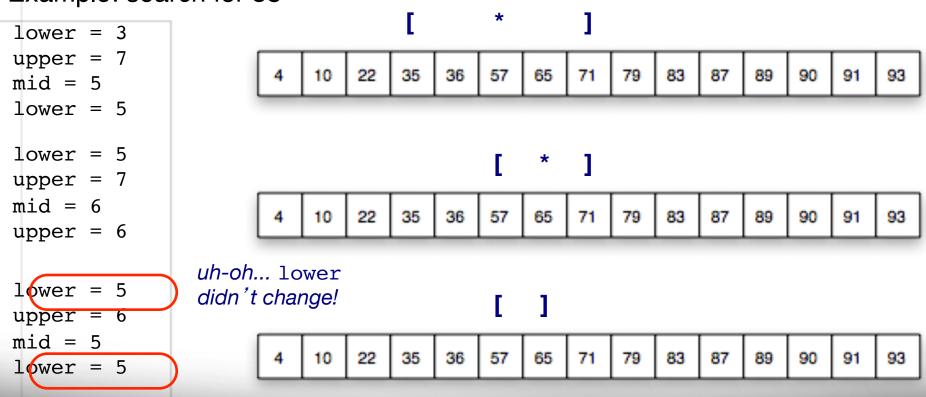




Unsuccessful Searches

- What happens in this algorithm if the item we're looking for is not in the array?
- Example: search for 58

```
mid = (lower + upper) /
2return mid if k ==
a[mid]upper = mid if k <
a[mid]lower = mid if k >
a[mid]
```





Unsuccessful Searches

To fix this problem we have to add another condition to the loop

- we want the result to be nil if the region shrinks to 0 items
- this happens when upper equals lower + 1

mid = (lower + upper) / 2

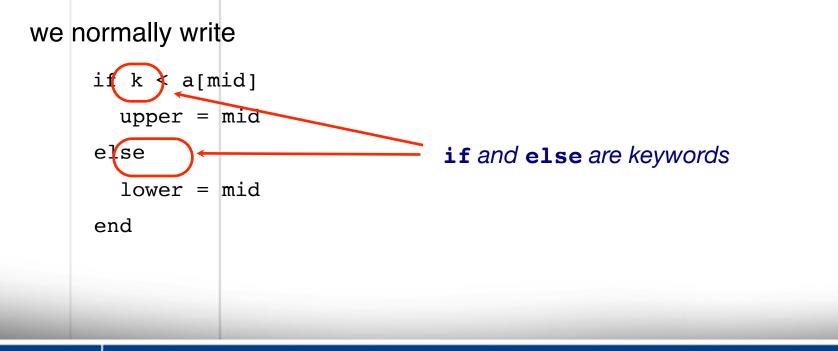
```
return nil if upper == lower + 1
return mid if k == a[mid]
upper = mid if k < a[mid]
lower = mid if k > a[mid]
```



if Statements

- Usually when a program has tests for opposite conditions the test is written in the form of an *if statement*
- Instead of

upper = mid if k < a[mid] lower = mid if k > a[mid]





if Statements

If there are three conditions we can use elsif (a combination of if and else):

```
if k == a[mid]
  return mid
elsif k
         < a[mid]</pre>
  upper = mid
else
  lower = mid
end
```



Binary Search Method

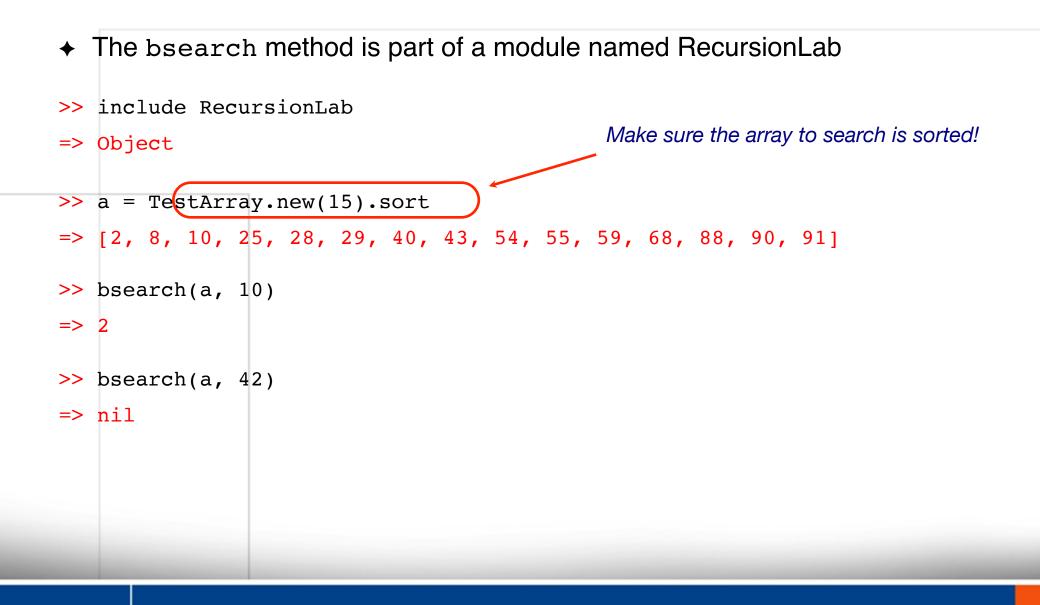
- The full definition of a method that does a binary search of an array a to look for an item x is shown at right
 - the name is bsearch to distinguish it from the search method shown in the previous slides

Is this an infinite loop??

def bsearch(a, k) lower = -1 upper = a.length while true mid = (lower + upper) / 2 return nil if upper == lower + 1 if k == a[mid] return mid elsif k < a[mid] upper = mid else lower = midend endend

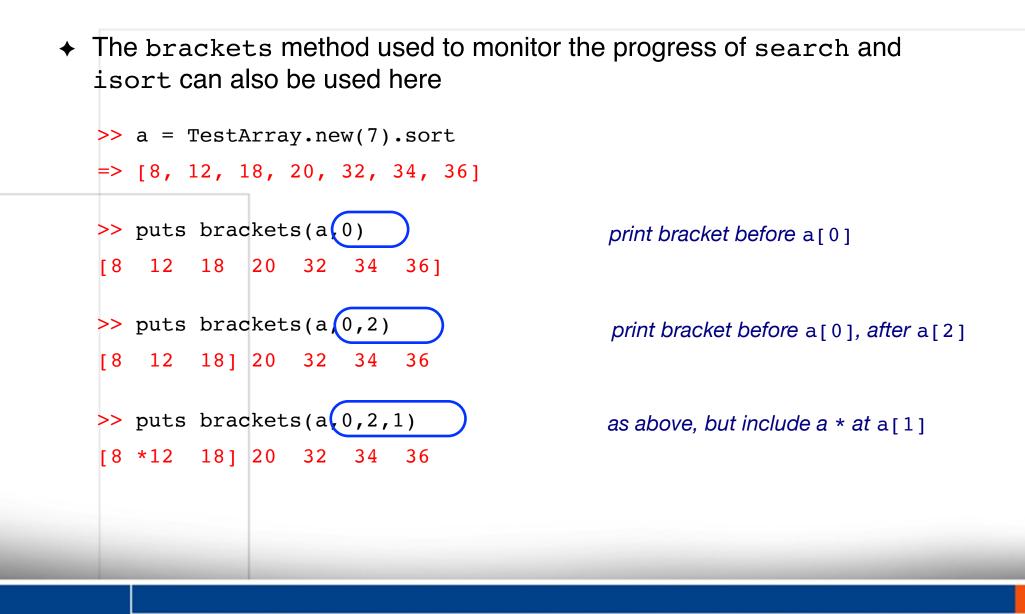


Examples with bsearch





Experiments with bsearch





Experiments with bsearch (cont'd)

Print a listing of the method to find a line number to attach a probe:

```
>> Source.listing("bsearch")
```

. . .

4: while true
5: mid = (lower + upper) / 2
6: return nil if upper == lower + 1
7: return mid if k == a[mid]
....

The goal is to count the number of iterations
 *any statement inside the loop will do
 *but display is more informative if we probe line 6, after computing mid



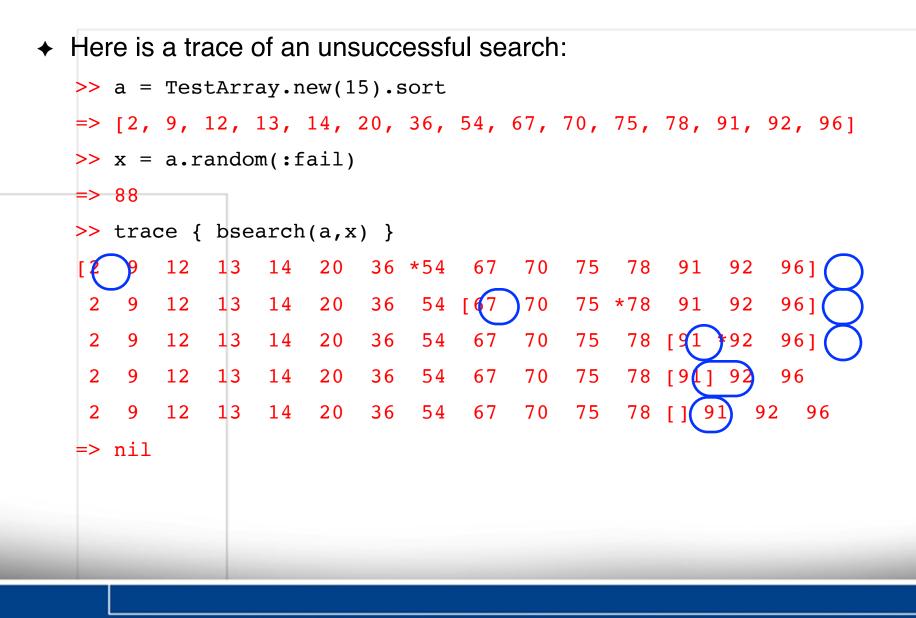
Experiments with bsearch (cont'd)

 Attach a probe that shows brackets around the current region and an asterisk in front of the mid point:

```
>> Source.probe( "bsearch", 6,
        "puts brackets(a, lower+1, upper-1, mid)" )
>> a = TestArray.new(15).sort
=> [3, 6, 11, 18, 55, 62, 63, 67, 84, 85, 87, 95, 97, 98, 99]
>> trace { bsearch(a,62) }
      11
         18 55 62 63 *67 84 85 87 95 97 98
                                                  991
      11 *18 55 62 63] 67 84 85 87 95 97 98
                                                   99
     11 18 [56, 62, 63] 67 84 85 87 95 97 98 99
   6
=> 5
```



Experiments with bsearch (cont'd)





=> nil

What Happens if the Array is Not Sorted?

Here is an unsorted test array (the kind of array used for search):

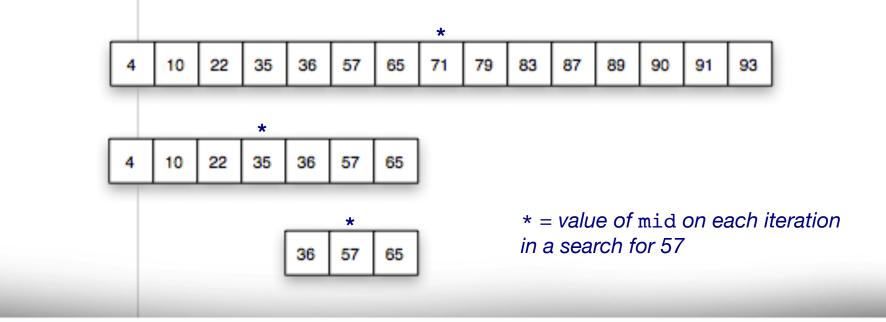
```
>> a = TestArray.new(15)
=> [11, 0, 99, 17, 50, 18, 2, 85, 19, 25, 9, 54, 21, 87, 10]
>> trace { bsearch(a,21) }
        99
            17
                50 18
                        2 *85 19 25 9
                                         54 21
                                                   87
                                                       10]
                                   25
                        2 ] (85)
                               19
        99 *17
                50 18
                                       9 54
                                               21
                                                   87
                                                       10
            17 [50 +18
                        21(85)
 11
     0
        99
                               19
                                   25 9
                                          54
                                               21
                                                   87
                                                       10
                    18
                       [2] 85) 19 25
     0
                50
                                       9
                                           54
                                             21
                                                   87
                                                       10
 11
        99
            17
                        2 [ 85 19 25
                                         9
                                             54
                    18
            17
                50
                                                 21
                                                         10
 11
     0
        99
```

The search target is in the array, but the algorithm doesn't find it...



Cutting the Problem Down to Size

- It should be clear why we say the binary search uses a divide and conquer strategy
 - the problem is to find an item within a given range
 - initial range: entire array
 - ✤ at each step the problem is split into two equal sub-problems
 - ✤ focus turns to one sub-problem for the next step





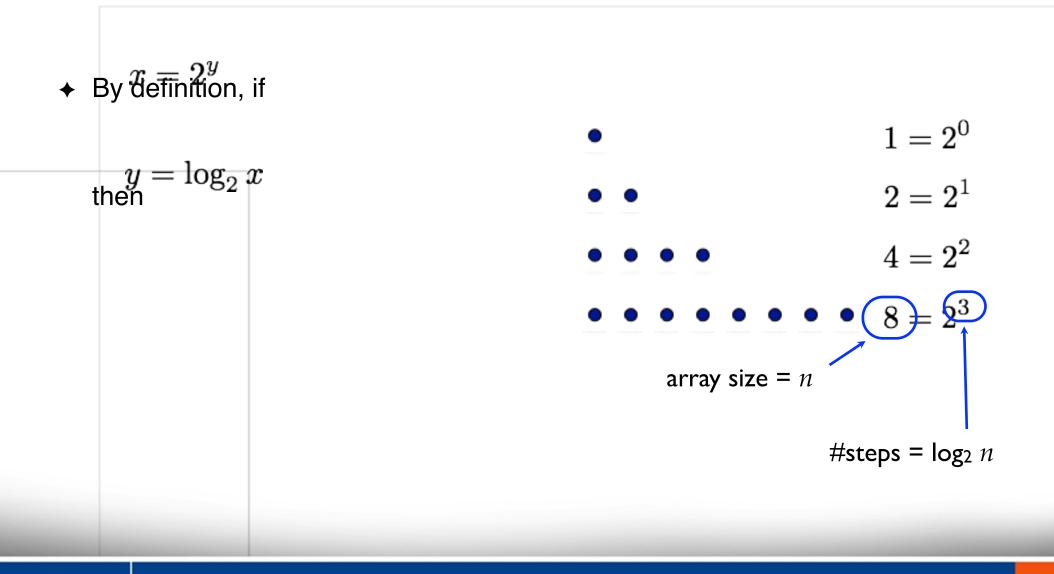
Number of Comparisons

- The number of iterations made by this algorithm when it searches an array of n items is roughly $\log_2 n$
- To see why, consider the question from the other direction
 - suppose we have an array that starts out with 1 item
 - suppose each step of an iteration doubles the size of the array
 - after *n* steps we will have
 2ⁿ items in the array

•								$1 = 2^{0}$
•	•							$2=2^1$
•	•	•	•					$4 = 2^{2}$
•	•	•	•	•	•	•	•	$8 = 2^{3}$



Number of Comparisons





Number of Comparisons

- When we're searching we're reducing an area of size n down to an area of size 1
 - e.g. n = 8 in this diagram
- A successful search might return after the first comparison
- ✤ An unsuccessful search does all $\log_2 n + 1$ iterations

•	•	•	•	•	•	•	•	$8 = 2^{3}$
•	•	•	•					$4 = 2^{2}$
•	•							$2=2^1$
•								$1 = 2^0$

 $\# \mathrm{steps} = \log_2 n + 1$



Counting

```
>> Source.probe( "bsearch", 6, :count )
=> true
>> a = TestArray.new(127).sort
=> [2, 5, 18, ... 949, 957, 960]
>> a = TestArray.new(127).sort; hil
=> nil
>> count { bsearch(a, a.random(:fail)) }
=> 8
>> count { bsearch(a, a.random(:success)) }
=> 7
>> count { bsearch(a, a.random(:success)) }
=> 5
```

attach counting probe anywhere inside the loop $128 = 2^7$ a useful "trick" -- Ruby won 't print the array failed search will always be 8 iterations when n = 127

successful search will take between 1 and 7 iterations



Timing

+ Here are the results from a test on a laptop:

```
>> a = TestArray.new(1000000).sort
```

```
=> [0, 9, 29 ... 9999965, 9999981, 9999993]
```

```
oops -- forgot the "; nil" trick
```

```
>> time {search(a, a.random(:fail))}
```

```
=> 1.009458
```

1,000,000 iterations takes about 1 second

```
>> time {bsearch(a, a.random(:fail))}
```

```
=> 0.000126
```

 log_2 1,000,000 \approx 20 iterations takes about 1/10,000th second



Recursion

In computer science a *recursive* description of a problem is one where

- a problem can be broken into smaller parts
- each part is a *smaller version of the original problem*
- there is a "base case" that can be solved immediately (i.e. it has no sub-problems)
- Binary search can be described recursively:

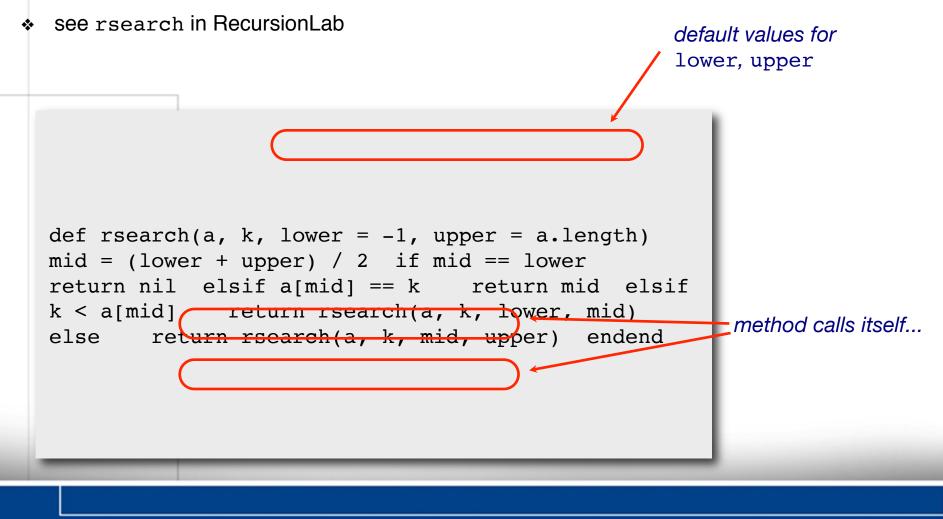
```
search(a, k, lower, upper):
mid = (lower + upper) / 2
return nil if mid == lower
return mid if k == a[mid]
return search(a, k, lower, mid) if k < a[mid]
return search(a, k, mid, upper) if k > a[mid]
recursion-- smaller instances of the
original problem
```



Recursive Methods

✤ We can write recursive methods in Ruby

the body of a method will have a call to itself





Recursive Methods (cont'd)

>> rsearch(a, 29)
[12 19 29 *58 68 72 96 98]
[12 *19 29] 58 68 72 96 98
12 19 [*29] 58 68 72 96 98
=> 2

initial call, lower = -1, upper = 8
recursive call, lower = -1, upper = 3
recursive call, lower = 1, upper = 3
location where 29 was found

```
def rsearch(a, k, lower = -1, upper = a.length)
mid = (lower + upper) / 2 if mid == lower
return nil elsif a[mid] == k return mid elsif
k < a[mid] return rsearch(a, k, lower, mid)
else return rsearch(a, k, mid, upper) endend</pre>
```



Recursion (cont'd)

- Understanding recursive methods takes some getting used to
 - it's easy to get lost, especially if you mentally trace what the system is doing
- It's a powerful tool as part of a programmer's "toolbox"
 - many complex problems are much easier to solve when one realizes there is a recursive description
- ♦ Key points to remember about recursion:
 - ✤ a recursive problem is one that can be broken into pieces
 - ✤ each piece is a smaller instance of the original problem
 - ✤ a recursive method calls itself to solve one of the smaller subproblems
 - ✤ there must be a base case, otherwise the result is an infinite recursion



Divide and Conquer Sorting Algorithms

- The divide and conquer strategy used to make a more efficient search algorithm can also be applied to sorting
- Two well-known sorting algorithms:

QuickSort

divide a list into big values and small values, then sort each part

Merge Sort

- sort subgroups of size 2, merge them into sorted groups of size 4, merge those into sorted groups of size 8, ...
- The remaining slides will have an overview of each algorithm, and a look at how Merge Sort can be implemented in Ruby



*

...

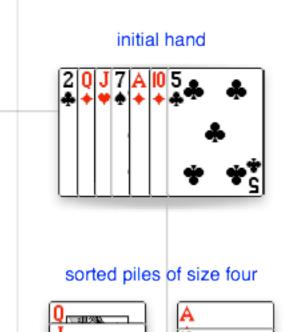
Merge Sort

- The merge sort algorithm works from "the bottom up"
 - start by solving the smallest pieces of the main problem
 - keep combining their results into larger solutions
 - eventually the original problem will be solved
- Example: sorting playing cards
 - divide the cards into groups of two
 - sort each group -- put the smaller of the two on the top
 - merge groups of two into groups of four
 - merge groups of four into groups of eight
 - [see example next slide]



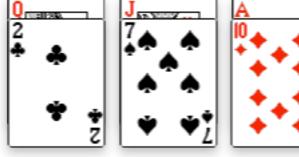
Merge Sort (cont'd)

Example with a hand of seven cards

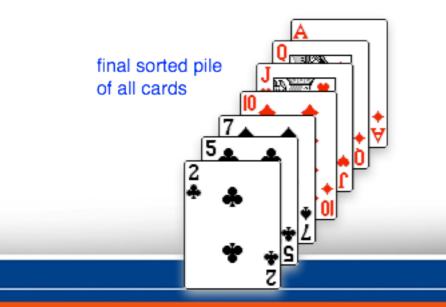


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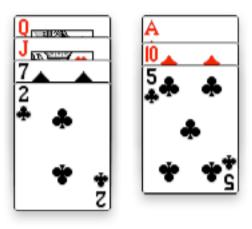
Merge Sort

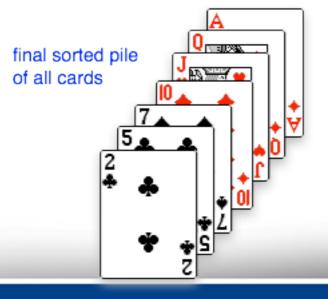
- What makes this method more effective than simple insertion sort?
 - *merging* two piles is a very simple operation
 - only need to look at the two cards currently on the top of each pile
 - no need to look deeper into either group
- ✤ In this example:

. . . .

- compare 2 with 5, pick up the 2
- compare 5 with 7, pick up the 5
- compare 7 with 10, pick up the 7

sorted piles of size four

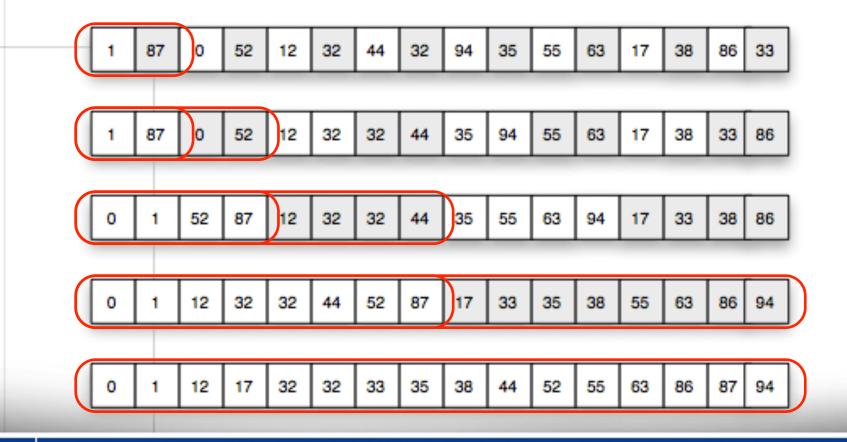






Merge Sort

- ✦ Another example, using an array of numbers
 - ✤ sorted blocks are indicated by adjacent cells with the same color





msort Demo

- The merge sort algorithm has been implemented in RubyLabs as a method named msort
 - more complicated than most algorithms in the book
 - described in the text, if you want to learn more (but it's optional reading)
- What you should know:
 - size, the variable that defines the group size, is initialized to 1
 - group size doubles on each successive iteration of the main loop
 - ✤ a helper procedure named merge, called from the main loop, does the hard work
- The first statement in the main loop is on line 5
 - we'll attach a probe here to look at the array at the start of each iteration
 - * a special version of brackets will draw pairs of brackets around each group



msort Demo

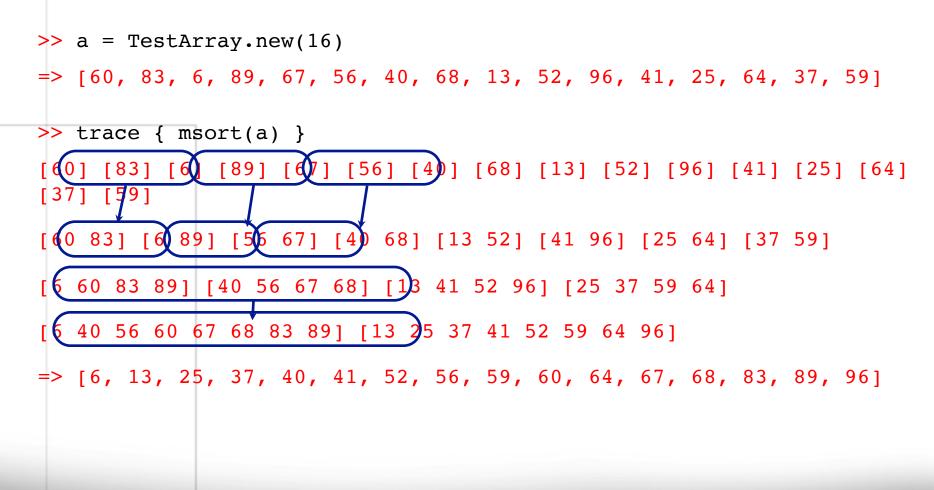
An example of how to call msort_brackets

```
>> a = TestArray.new(8)
=> [38, 45, 24, 13, 52, 25, 48, 26]
>> puts msort_brackets(a, 2)
[38 45] [24 13] [52 25] [48 26]
>> puts msort brackets(a, 4)
[38 45 24 13] [52 25 48 26]
>> Source.probe( "msort", 5, "puts msort_brackets(a,size)" )
=> true
```



msort Demo

After attaching the probe we can trace a call to msort





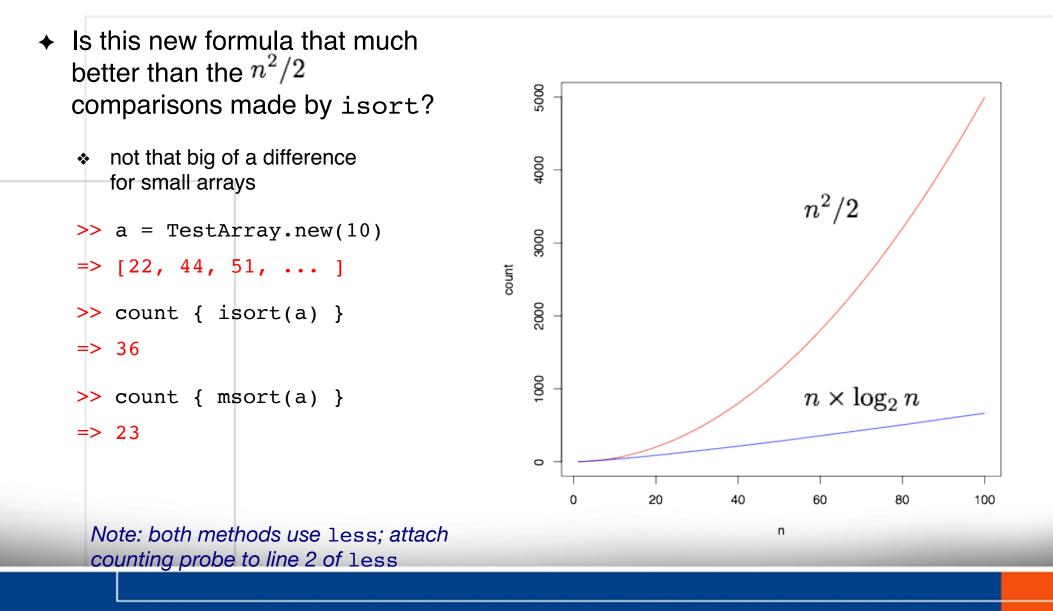
Comparisons in Merge Sort

- To completely sort an array with n items requires $\log_2 n$ iterations
 - the group size starts at 1 and doubles on each iteration
- During each iteration there are at most *n* comparisons
 - comparisons occur in the merge method
 - compare values at the front of each group
 - may have to work all the way to the end of each group, but might stop early (e.g. with cards one pile is emptied but more than one left in the other pile)

Total comparisons $\approx n \times \log_2 n$

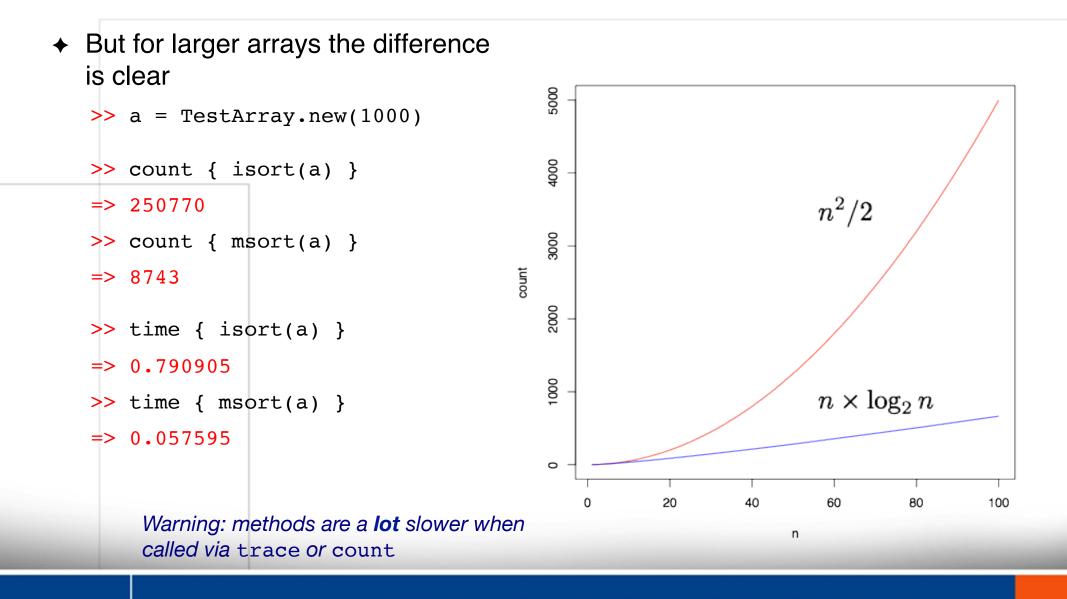


Scalability of Merge Sort





Scalability of Merge Sort (cont'd)



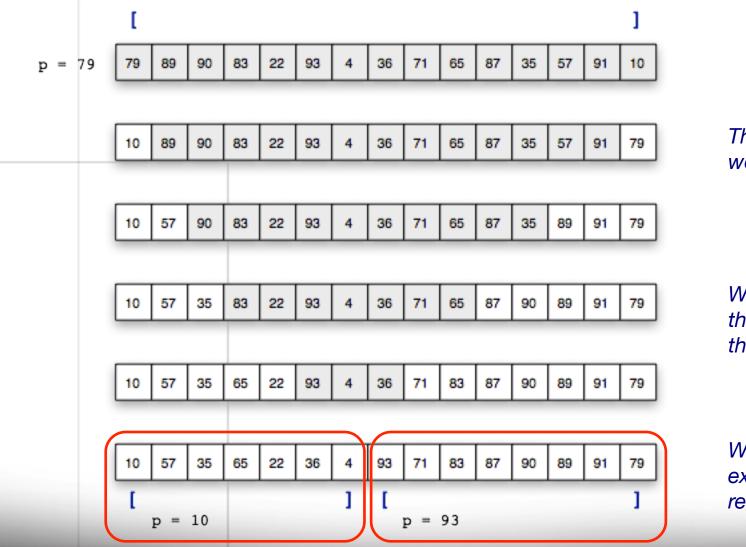


QuickSort

- QuickSort is another divide-and-conquer sorting algorithm
- The main idea is to partition the array into two regions:
 - small items are moved to the left side of the array
 - ✤ large items are moved to the right side
- ✦ After partitioning, repeat the sort on the left and right sides
 - each region is a sub-problem, a smaller version of the original problem
- Main question: how do we decide which items are "small" and which are "large"?
- A common technique: use the first item in the region as a *pivot*
 - everything less than the pivot ends up in the left region
 - items greater than or equal to the pivot go in the right region



Partition Example



The partitioning algorithm works from both ends

When it finds a large item on the left and a small item on the right it swaps them

When there are no more exchanges to make the two regions are complete

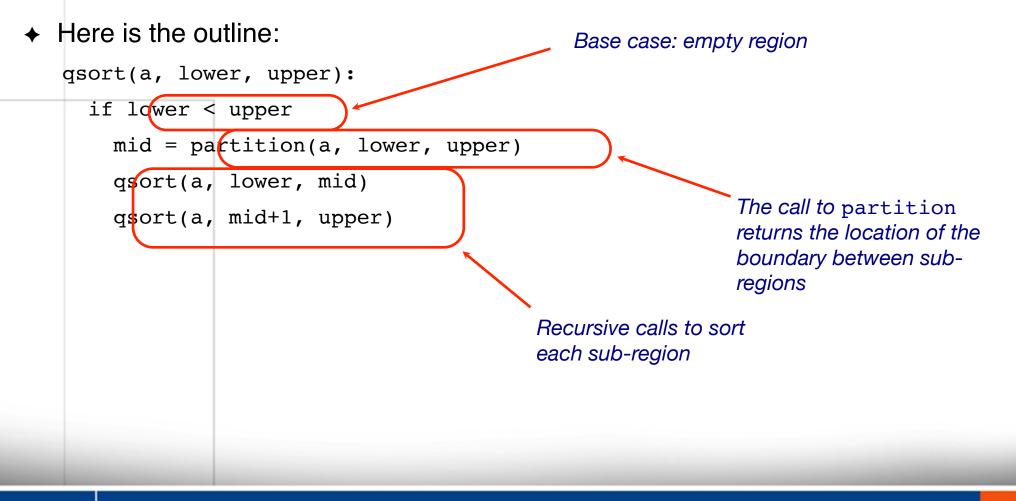
Numbers below 75

Numbers 79 and above



QuickSort Algorithm

 Since the partition step does all the hard work the QuickSort algorithm is straightforward





QuickSort Performance

- QuickSort is not guaranteed to be more efficient than Insertion Sort
 - ✤ if it makes an unlucky choice for the pivot the array will not be divided equally
 - ✤ worst case: sorting an array that is already in order
- The analysis of the average number of steps for random lists is fairly complex
- ✤ Bottom line: to sort a list of n items requires approximately

#steps = $n \times \log_2 n$

 Many tests on real-world data show that QuickSort is very effective in practice and it is a popular choice in many applications



The qsort Method

The RecursionLab module has a method named qsort

use it the same way you do isort and msort

```
>> Source.listing("qsort")
```

• • •

```
1: def qsort(a, p = 0, r = a.length-1)
2: if p < r</pre>
```

- To trace the execution of qsort, print brackets around the current region at the beginning of each call
 - parameters p and r define the boundaries

```
>> Source.probe( "qsort", 2, "puts brackets(a,p,r)" )
=> true
```

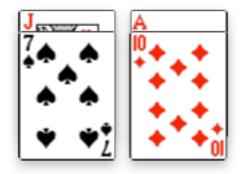


Sort Algorithms in Real Life

- These algorithms can be used in the real world
 - it might be fun to try merge sort on a deck of cards
- For QuickSort you'll need a lot of room to lay out all the cards
- ✦ Merge sort can be done in a very small space
 - pick up the smaller of the two top cards
 - ✤ lay it face down in a new pile
 - when merging the next two groups the new pile should be at right angles so you know where the group starts
 - turn the deck over and repeat

The way most people sort a full deck (make one pile for aces, one for kings, ...) is known as **radix sort**

Probably more efficient than merge sort for this problem....

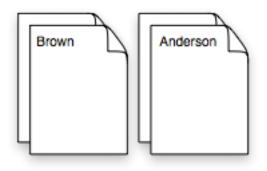


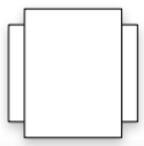




Sort Algorithms in Real Life

- A place where merge sort might be the best method is sorting stacks of papers
- Example: sorting a set of exams from a class with 45 students
- Use the method sketched on the previous slide for cards
 - new groups are formed face down below existing groups
 - alternate the orientation of each new group
 - turn the stack over and repeat

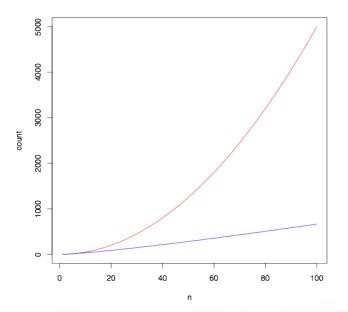






Recap: Divide and Conquer Algorithms

- The divide and conquer strategy often reduces the number of iterations of the main loop from n to log₂ n
 - \star binary search: $\mathcal{O}(\log_2 n)$
 - * merge sort: $\mathcal{O}(n \times \log_2 n)$
 - QuickSort: $\mathcal{O}(n \times \log_2 n)$
- It may not look like much, but the reduction in the number of iterations is significant for larger problems





Summary

- These slides introduced the *divide and conquer* strategy
 - ✤ for searching: *binary search*
 - requires list to be sorted
 - for sorting: QuickSort and *merge sort*
- Binary search will find an item using at most $\log_2 n$ comparisons
- QuickSort and merge sort do at most $n \times \log_2 n$ comparisons
- An algorithm that uses divide and conquer can be written using *iteration* or *recursion*
 - recursive = "self-similar"
 - ✤ a problem that can be divided into smaller subproblems of the same type
 - ✤ a recursive method calls itself



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Note to Instructors

This Keynote document contains the slides for "Divide and Conquer", Chapter 5 of *Explorations in Computing: An Introduction to Computer Science*.

The book invites students to explore ideas in computer science through interactive tutorials where they type expressions in Ruby and immediately see the results, either in a terminal window or a 2D graphics window.

Instructors are **strongly encouraged to have a Ruby session running concurrently** with Keynote in order to give live demonstrations of the Ruby code shown on the slides.

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